

PLANNED AUDIT 2015/2016

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
As at 9 March 2016													
<b>JOINT</b>													
<b>Procurement (26 priority score)</b>	<b>Completed</b>	16											
SODC			8.0		Limited	9	2	2	5	5	2	2	0
VWHDC			8.0		Limited	8	1	1	5	5	2	2	0
<b>Payroll (24)</b>	<b>Completed</b>	36											
SODC			11.0		Limited	5	1	1	1	1	3	3	0
VWHDC			11.0		Limited	5	1	1	1	1	3	3	0
<b>Housing &amp; Council Tax Reduction Scheme (22)</b>	<b>Completed</b>	30											
SODC			8.5		Full	1	0	0	0	0	1	1	0
VWHDC			8.5		Full	0	0	0	0	0	0	0	0
<b>Leisure Centres (22)</b>	<b>Completed</b>	30											
SODC			18.0		Satisfactory	10	0	0	3	3	7	7	0
VWHDC			18.0		Satisfactory	11	0	0	4	4	7	7	0
<b>Elections &amp; Election Payments (22)</b>	<b>In progress</b>	30											
SODC			0.0										
VWHDC			0.0										
<b>Council Tax (21)</b>	<b>In progress</b>	20											
SODC			0.5										
VWHDC			0.5										
<b>General Ledger (21)</b>	TOR sent	20											
SODC			0.0										
VWHDC			0.0										
<b>National Non-Domestic Rate (21)</b>	<b>Completed</b>	20											
SODC			10.0		Full	1	0	0	0	0	1	1	0
VWHDC			10.0		Full	1	0	0	0	0	1	1	0
<b>Sundry Debtors (21)</b>	<b>Completed</b>	20											
SODC			8.5		Satisfactory	5	0	0	2	2	3	3	0
VWHDC			8.5		Satisfactory	4	0	0	2	2	2	2	0
<b>ICT(21)</b>	TOR sent	20											
SODC			0.5										
VWHDC			0.5										
<b>Creditor Payments (20)</b>	<b>Completed</b>	20											
SODC			10.0		Limited	6	3	3	0	0	3	3	0
VWHDC			12.5		Limited	5	3	3	0	0	2	2	0
<b>Pro-Active Anti-Fraud Review (20)</b>	<b>In progress</b>	20											
SODC			2.5										
VWHDC			2.5										
<b>Insurance (20)</b>	<b>In progress</b>	20											
SODC			4.0										
VWHDC			4.0										

<b>Capital Management and Accounting (19)</b> SODC VWHDC	<b>In progress</b>	14	3.5 3.5										
<b>Cash Office (19)</b> SODC VWHDC	<b>Completed</b>	16	6.5 6.5		<b>Limited</b> <b>Limited</b>	<b>5</b> <b>5</b>	2 3	2 3	3 2	2 1	0 0	0 0	<b>1</b> <b>1</b>
<b>Licensing (18)</b> SODC VWHDC	<b>Completed</b>	20	10.0 10.0		<b>Satisfactory</b> <b>Satisfactory</b>	<b>7</b> <b>5</b>	0 0	0 0	2 2	2 2	5 3	4 2	<b>1</b> <b>1</b>
<b>Treasury Management (18)</b> SODC VWHDC	<b>In progress</b>	14	0.5 0.5										
<b>Receipt of Income Arrangements (18)</b> SODC VWHDC	<b>Completed</b>	20	10.0 10.0		<b>Satisfactory</b> <b>Satisfactory</b>	<b>6</b> <b>6</b>	0 0	0 0	4 4	4 4	2 2	2 2	<b>0</b> <b>0</b>
<b>HR Management (18)</b> SODC VWHDC	To commence Q4	20	0.0 0.0										
<b>HR Recruitment (17)</b> SODC VWHDC	To commence Q4	20	0.0 0.0										
<b>Partnership Performance Monitoring (17)</b> SODC VWHDC	<b>Completed</b>	20	10.5 10.0		<b>Limited</b> <b>Limited</b>	<b>6</b> <b>6</b>	3 3	2 2	1 1	1 1	2 2	1 1	<b>2</b> <b>2</b>
<b>Project Management (17)</b> SODC VWHDC	<b>Draft out</b>	20	10.0 10.0	Pending strategic director input.									
<b>Waste Management &amp; Recycling (17)</b> SODC VWHDC	<b>Completed</b>	20	10.0 10.0		<b>Substantial</b> <b>Substantial</b>	<b>3</b> <b>3</b>	0 0	0 0	1 1	1 1	2 2	2 2	<b>0</b> <b>0</b>
<b>Consultation (Public &amp; Staff) (16)</b> SODC VWHDC	<b>Completed</b>	10	5.0 5.0		<b>Substantial</b> <b>Substantial</b>	<b>3</b> <b>3</b>	0 0	0 0	1 1	1 1	2 2	2 2	<b>0</b> <b>0</b>
<b>Neighbourhood Planning Grants (15)</b> SODC VWHDC	<b>Completed</b>	10	9.5 9.5	Change of auditor.	<b>Limited</b> <b>Limited</b>	<b>5</b> <b>5</b>	1 1	1 1	3 3	3 3	1 1	1 1	<b>0</b> <b>0</b>
<b>Development Management (13)</b> SODC VWHDC	<b>Completed</b>	20	10.5 10.5		<b>Satisfactory</b> <b>Satisfactory</b>	<b>3</b> <b>3</b>	0 0	0 0	2 2	2 2	1 1	1 1	<b>0</b> <b>0</b>
<b>LEADER Project Grant Verification (10)</b> SODC VWHDC	N/A	5	0.0 0.0	No longer required.	<b>N/A</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>IA PLANNED AUDIT TOTALS</b>	-	<b>531</b>	<b>337</b>		<b>Full</b> <b>Substantial</b> <b>Satisfactory</b> <b>Limited</b> <b>Nil</b>	<b>0</b> <b>0</b> <b>4</b> <b>2</b> <b>0</b>							<b>145</b> <b>24</b> <b>22</b> <b>56</b> <b>54</b> <b>65</b> <b>61</b> <b>8</b>